

2009 BUSINESS LEADERSHIP CONFERENCE

How to Manage Award Closeouts and Cost Transfers/ Compliance Challenges

YALE UNIVERSITY – FALSE CLAIMS

Supplemental Case Study Summary

Federal Government Office of Inspector General Investigation of Yale University covered \$3 billion in 6,000 grants between January 2000 and December 2006

Issues:

- Transferring grant funds to accounts that are not specifically related to the purpose of the grant (cost transfers)
 - Charging all of researchers summer work, including time and effort unrelated to project
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- July 3, 2006 - Article published in the Yale Daily News announcing the “sweeping federal investigation for . . .manages the millions of dollars it receives in research grants each year.”
 - September 11, 2006 - Article in the Yale Daily News in which Yale President Richard Levin describes the on-going federal investigation
 - February 2, 2007 - Article published in the Yale Daily News in which the faculty objected to intrusion to their privacy.
 - March 30, 2007 - *Official Yale University Message* to Members of the Faculty and Staff from Dorothy K. Robinson, Yale Vice President and General Counsel advising them to preserve all information related to the on-going investigation
 - December 23, 2008 - *Official Yale University Message* to Faculty and Staff of Yale University from Richard C. Levin, Yale University President announcing a settlement with the Federal Government
 - December 23, 2008 - Article in the Yale Daily News announcing the end of the 2 year investigation by the Federal Government , including settlement details